

Imperative Core (Impcore)
is an interpreted language

Translation of compiled programs

Characters (file)

} **lexer**

Tokens

} **parser**

Abstract-Syntax Trees (ASTs)

} **static semantics**

Intermediate Forms

} **optimizer?**

Quadruples

} **code generator**

Assembly Instructions

} **assembler**

Machine Instructions

Supporting cast

Compile time

- **Symbol table** (implements *environments*)

Run time

- **Instrumentation**
 - profiling, tracing, testing
- **Run-time system**
 - dynamic typing
 - **memory management**
 - exceptions
- **Debugging**

An Imperative Core

Models heart of most languages

Trivial syntax

parenthesized prefix expressions (LISP-like)

Two kinds of inputs

- function definitions

```
(define mod (m n) (- m (* n (/ m n))))
```

like the C function

```
int mod (int m, int n) {  
    return m - n * (m / n);  
}
```

- expressions

An expression-oriented language

Expressions include control flow (no “statements”)

<code>(if e1 e2 e3)</code>	<code>if (e1) e2; else e3;</code>
<code>(while e1 e2)</code>	<code>while (e1) e2; 0</code>
<code>(set x e)</code>	<code>x = e</code>
<code>(begin e1 ... en)</code>	<code>{ e1; ... ; en }</code>
<code>(f e1 ... en)</code>	<code>f(e1, ... , en)</code>

`f` may be primitive or defined with `(define f ...)`
primitives include:

`+ - * / = < > print`

More Impcore

Datatypes: **integer**

(we have functions, but they aren't values)

Scopes (**name spaces, environments**):

2 levels only: **globals, formals**

no local variables:

use excess formals (as in awk)

functions live in their own name space

(not shared with variables)

Separate name spaces at work

```
-> (val f 33)
```

```
33
```

```
-> (define f (x) (+ x x))
```

```
f
```

```
-> (f f)
```

```
66
```

Impcore concrete syntax

toplevel ⇒ *expression* | *fundef* | *val-binding* | (use *filename*)

fundef ⇒ (define *function-name formals expression*)

formals ⇒ ({ *parameter-name* })

val-binding ⇒ (val *variable-name expression*)

expression ⇒ *literal-value*

| *variable-name*

| (if *expression expression expression*)

| (while *expression expression*)

| (set *variable-name expression*)

| (begin *expression* { *expression* })

| (op { *expression* })

op ⇒ *function-name* | + | - | * | / | = | < | > | print

More syntax

literal-value ⇒ *integer*

integer ⇒ digits, with optional - sign

**-name* ⇒ characters, but not () ; or blank

Abstract syntax

Input translated into efficient internal representation: **abstract-syntax tree** **exp**

LITERAL (integer)

VAR (name)

SET (name, exp)

IFX (exp, exp, exp)

WHILEX (exp, exp)

BEGIN (explist)

APPLY (name, explist)

Both built-in and user-defined functions are “application”

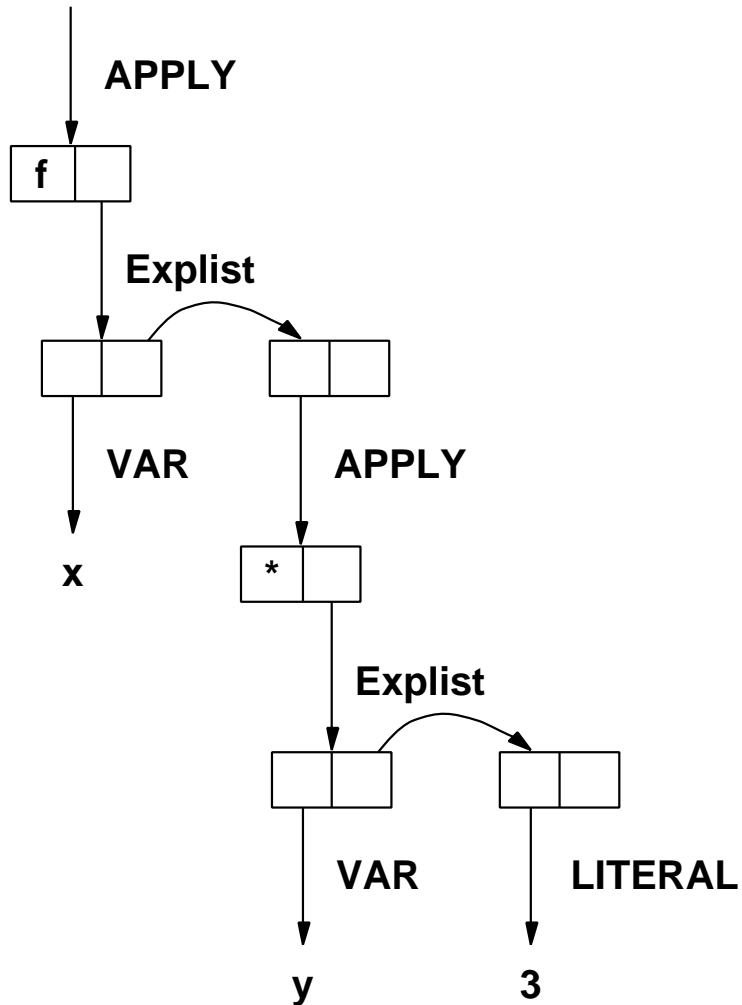
Representing abstract syntax

A recursive type: `typedef struct Exp Exp;`

```
struct Exp {
    enum
    { LITERAL, VAR, SET, IFX, WHILEX, BEGIN, APPLY } ty;
    union {
        Value literal;
        Name *var;
        struct { Name *name; Exp *exp; } set;
        struct { Exp *cond; Exp *true; Exp *false; } ifx;
        struct { Exp *cond; Exp *exp; } whilex;
        Explist *begin;
        struct { Name *name; Explist *actuals; } apply;
    } u;
};
```

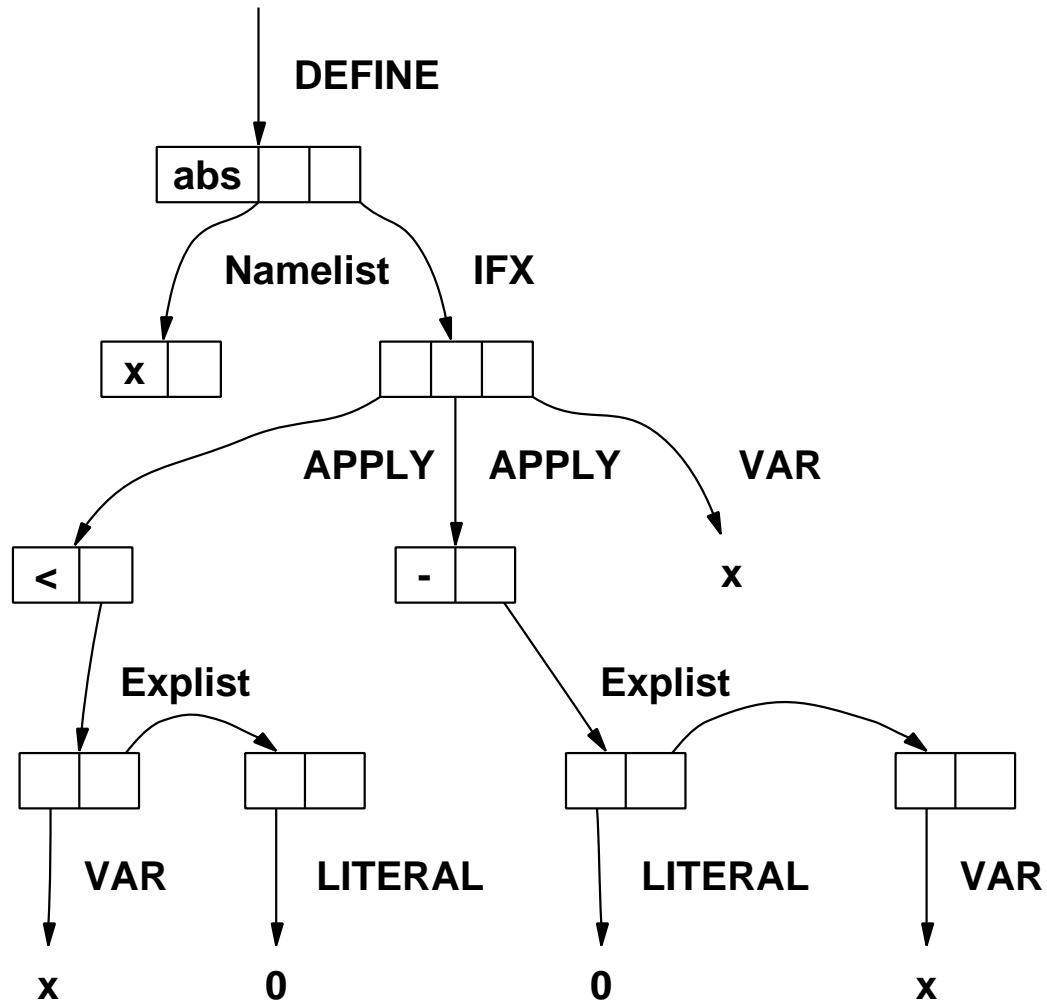
Example AST

Given input (f x (* y 3))



Another AST

```
(define abs (x) (if (< x 0) (- 0 x) x))
```



Meanings, part I: names

Focus on *environments*:

associate values with variables

Environment ρ is mapping $\{x_1 \mapsto n_1, \dots, x_k \mapsto n_k\}$,
which associates variables x_i with values n_i .

$\rho(x)$ denotes value associated with variable x in
environment ρ

Environments as abstract type

Declaration:

```
typedef struct Valenv Valenv;
```

Creator:

```
Valenv *mkValenv(Namelist *vars, Valuelist *vals);
```

Observers:

```
int isvalbound(Name *name, Valenv *env);  
Value fetchval(Name *name, Valenv *env);
```

Mutator:

```
void bindval(Name *name, Value val, Valenv *env);
```

Implementing environments

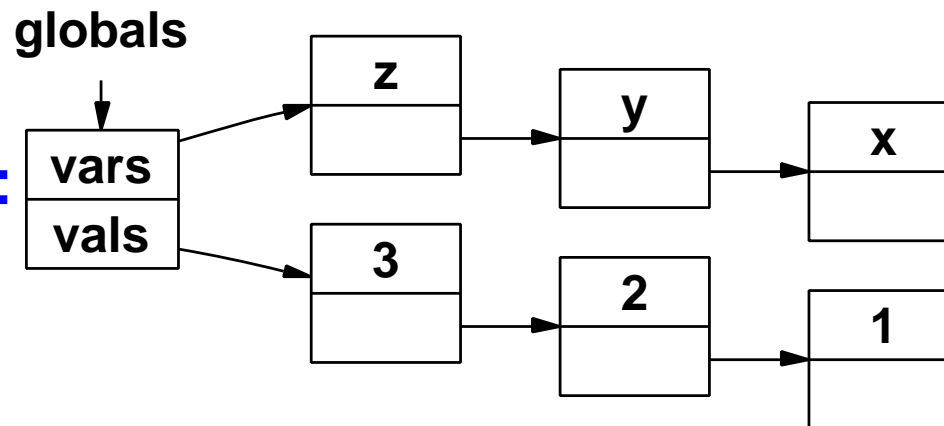
Use pair of lists, e.g., after

```
(val x 1)
```

```
(val y 2)
```

```
(val z 3)
```

global environment:



Desire for efficient representation, allocation, and deallocation of environments often drives language design

Meanings, part II: expressions

Expressions **evaluated** w.r.t. environment
(composition of formal, global, function environments)

Heart of the interpreter

- **structural recursion** on `ExpS`
- environment provides meanings of names

How do we explain evaluation?

Answer three questions:

1. What are the expressions?
2. What are the values?
3. What are the rules for turning expressions into values?

Combined: *operational semantics*

Operational semantics

Specify **executions** of programs on an **abstract machine**

Typical uses

- Very concise and precise language definition
- Direct guide to implementor
- Prove things like “**well-typed programs don’t go wrong**”

Operational Semantics

Loosely speaking, an interpreter

More precisely, formal rules for interpretation

- Set of **expressions**, also called **terms**
- Set of **values**
- **Full state of abstract machine**
(e.g., $\langle e, \xi, \phi, \rho \rangle$, \equiv **expression + 3 environments**)
- **Well specified initial state**
- **Transition rules for the abstract machine**
 - Good programs end in an **accepting state**
 - Bad programs **get stuck** (\equiv “go wrong”)

Operational semantics for Impcore

You've seen expressions: **ASTs**

All values are integers.

State $\langle e, \xi, \phi, \rho \rangle$ is

e Expression being evaluated

ξ Values of global variables

ϕ Definitions of functions

ρ Values of formal parameters

Rules form a **proof system** for making judgments:

$$\langle e, \xi, \phi, \rho \rangle \Downarrow \langle v, \xi', \phi, \rho' \rangle$$

Formal semantics

We'll use **natural semantics**.

This is a specific kind of **operational semantics**.

It consists of **rules of inference (or judgments)**.

Each rule has the following format:

$$\frac{\text{premises}}{\text{conclusion}} \quad (\text{NAME OF RULE})$$

Impcore semantics: Literals

$\langle \text{LITERAL}(v), \xi, \phi, \rho \rangle \Downarrow \langle v, \xi, \phi, \rho \rangle$

(LITERAL)

Impcore semantics: Variables

Parameters hide global variables.

$$\frac{x \in \text{dom } \rho}{\langle \text{VAR}(x), \xi, \phi, \rho \rangle \Downarrow \langle \rho(x), \xi, \phi, \rho \rangle} \quad (\text{FORMALVAR})$$

$$\frac{x \notin \text{dom } \rho \quad x \in \text{dom } \xi}{\langle \text{VAR}(x), \xi, \phi, \rho \rangle \Downarrow \langle \xi(x), \xi, \phi, \rho \rangle} \quad (\text{GLOBALVAR})$$

Impcore semantics: Assignment

$$\frac{x \in \text{dom } \rho \quad \langle e, \xi, \phi, \rho \rangle \Downarrow \langle v, \xi', \phi, \rho' \rangle}{\langle \mathbf{SET}(x, e), \xi, \phi, \rho \rangle \Downarrow \langle v, \xi', \phi, \rho' \{x \mapsto v\} \rangle}$$

(FORMALASSIGN)

$$\frac{x \notin \text{dom } \rho \quad x \in \text{dom } \xi \quad \langle e, \xi, \phi, \rho \rangle \Downarrow \langle v, \xi', \phi, \rho' \rangle}{\langle \mathbf{SET}(x, e), \xi, \phi, \rho \rangle \Downarrow \langle v, \xi' \{x \mapsto v\}, \phi, \rho' \rangle}$$

(GLOBALASSIGN)

Evaluation code

```
Value eval(Exp *e,  $\xi$ ,  $\phi$ ,  $\rho$ ) {
  switch(e->ty) {
  case LITERAL: return e->u.literal;
  case VAR: ... /* look up in  $\rho$  and  $\xi$  */
  case SET: ... /* modify  $\rho$  or  $\xi$  */
  case IFX: ...
  case WHILEX: ...
  case BEGIN: ...
  case APPLY: f = fetchfun(e->u.apply.name,  $\phi$ );
               ... /* user fun or primitive */
  }
}
```

Evaluation cases

- VAR** find binding for variable and use value
- SET** rebind variable in `formals` or `globals`
- IFX** (recursively) evaluate condition, then `t` or `f`
- WHILEX** (recursively) evaluate condition, body
- BEGIN** (recursively) evaluate each `Exp` of body
- APPLY** look up function in `functions`
 - built-in PRIMITIVE** — do by cases
 - USERDEF function** —
 - use arg values to build `formals env`
 - recursive `eval` using fun body

Evaluation — Variables

To evaluate x , find binding $\rho(x)$, get value

Conceptually, *one* environment, composed of
formals+globals

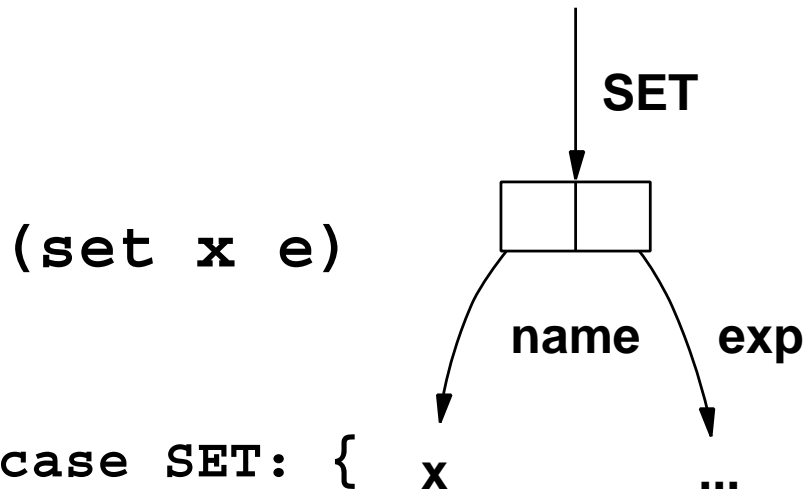
Composition implemented in `eval`, not in `Env` type:

case VAR:

```
if (isvalbound(e->u.var, formals))
    return fetchval(e->u.var, formals);
else if (isvalbound(e->u.var, globals))
    return fetchval(e->u.var, globals);
else
    error("unbound variable %n", e->u.var);
```

Assignment

Means change $\rho(x)$:
change parameter or
change global



```
case SET: { x ...
  Value v = eval(e->u.set.exp, globals, functions, formals);
  if(isvalbound(e->u.set.name, formals))
    bindval(e->u.set.name, v, formals);
  else if(isvalbound(e->u.set.name, globals))
    bindval(e->u.set.name, v, globals);
  else
    error("set: unbound variable %n", e->u.set.name);
  return v; }
```

Impcore semantics: “If” Expression

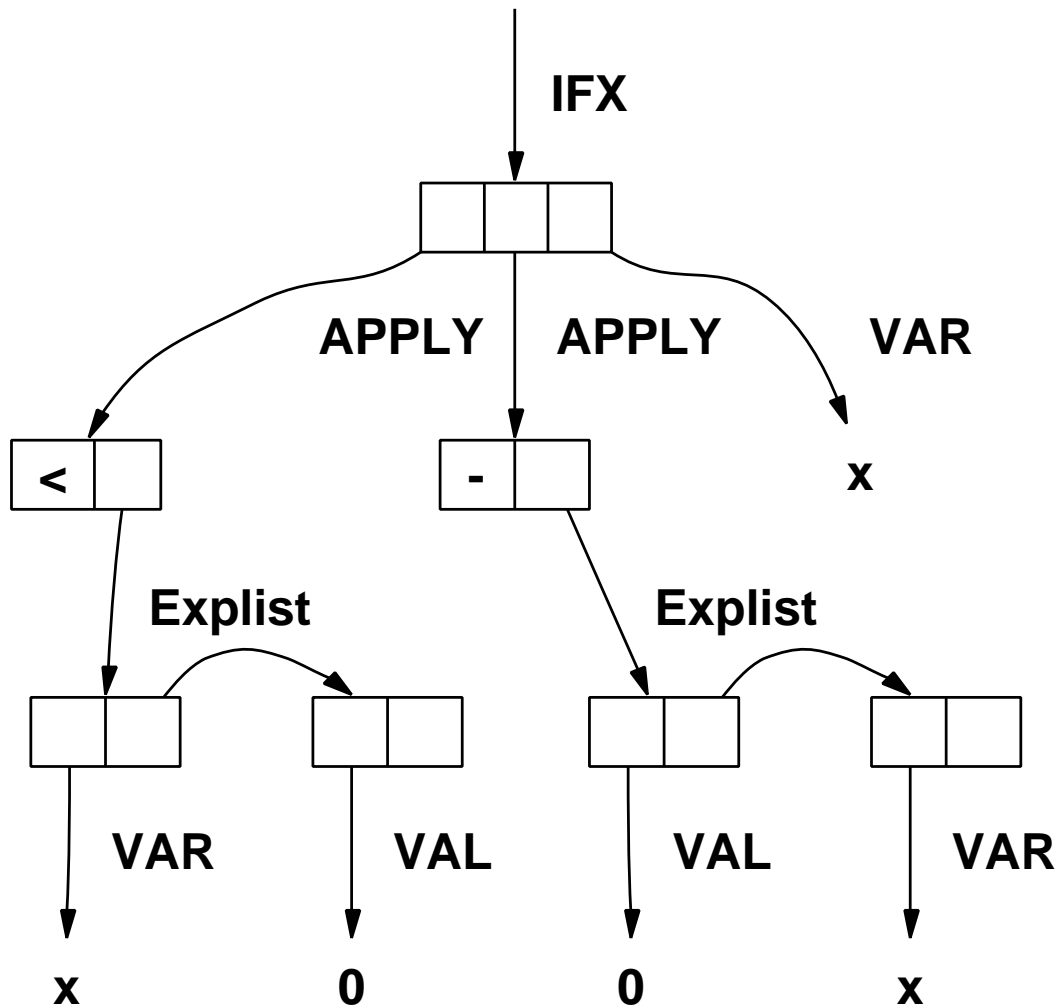
$$\frac{\langle e_1, \xi, \phi, \rho \rangle \Downarrow \langle v_1, \xi', \phi, \rho' \rangle \quad v_1 \neq \mathbf{0} \quad \langle e_2, \xi', \phi, \rho' \rangle \Downarrow \langle v_2, \xi'', \phi, \rho'' \rangle}{\langle \mathbf{IF}(e_1, e_2, e_3), \xi, \phi, \rho \rangle \Downarrow \langle v_2, \xi'', \phi, \rho'' \rangle} \quad (\mathbf{IFTRUE})$$

$$\frac{\langle e_1, \xi, \phi, \rho \rangle \Downarrow \langle v_1, \xi', \phi, \rho' \rangle \quad v_1 = \mathbf{0} \quad \langle e_3, \xi', \phi, \rho' \rangle \Downarrow \langle v_3, \xi'', \phi, \rho'' \rangle}{\langle \mathbf{IF}(e_1, e_2, e_3), \xi, \phi, \rho \rangle \Downarrow \langle v_3, \xi'', \phi, \rho'' \rangle} \quad (\mathbf{IFFALSE})$$

"If" Example

```
(define abs (x)
```

```
  (if (< x 0) (- 0 x) x))
```



Impcore semantics: “While” Expression

$$\frac{\langle e_1, \xi, \phi, \rho \rangle \Downarrow \langle v_1, \xi', \phi, \rho' \rangle \quad v_1 \neq \mathbf{0} \quad \langle e_2, \xi', \phi, \rho' \rangle \Downarrow \langle v_2, \xi'', \phi, \rho'' \rangle}{\langle \mathbf{WHILE}(e_1, e_2), \xi'', \phi, \rho'' \rangle \Downarrow \langle v_3, \xi''', \phi, \rho''' \rangle} \quad \langle \mathbf{WHILE}(e_1, e_2), \xi, \phi, \rho \rangle \Downarrow \langle v_3, \xi''', \phi, \rho''' \rangle$$

(WHILEITERATE)

$$\frac{\langle e_1, \xi, \phi, \rho \rangle \Downarrow \langle v_1, \xi', \phi, \rho' \rangle \quad v_1 = \mathbf{0}}{\langle \mathbf{WHILE}(e_1, e_2), \xi, \phi, \rho \rangle \Downarrow \langle \mathbf{0}, \xi', \phi, \rho' \rangle} \quad \mathbf{(WHILEEND)}$$

Impcore semantics: “Begin” Expression

$$\frac{}{\langle \mathbf{BEGIN}(), \xi, \phi, \rho \rangle \Downarrow \langle \mathbf{0}, \xi, \phi, \rho \rangle} \quad (\mathbf{EMPTYBEGIN})$$

$$\langle e_1, \xi_0, \phi, \rho_0 \rangle \Downarrow \langle v_1, \xi_1, \phi, \rho_1 \rangle$$

$$\langle e_2, \xi_1, \phi, \rho_1 \rangle \Downarrow \langle v_2, \xi_2, \phi, \rho_2 \rangle$$

⋮

$$\langle e_n, \xi_{n-1}, \phi, \rho_{n-1} \rangle \Downarrow \langle v_n, \xi_n, \phi, \rho_n \rangle$$

$$\frac{}{\langle \mathbf{BEGIN}(e_1, \dots, e_n), \xi_0, \phi, \rho_0 \rangle \Downarrow \langle v_n, \xi_n, \phi, \rho_n \rangle} \quad (\mathbf{BEGIN})$$

Evaluation — Application

1. Find function in old environment

```
f = fetchfun(e->u.apply.name, functions);
```

2. Evaluate actuals to get list of values (also in old ρ)

```
v1 = evallist(e->u.apply.actuals, globals, functions, formals);
```

Note: **actuals** evaluated in the current environment

3. **Make new env**, binding formals to actuals

```
new_formals = mkValenv(f.u.userdef.formals, v1);
```

4. Evaluate body in new environment

```
return eval(f.u.userdef.body, globals, functions, new_formals);
```

Application — binding parameters

Actuals evaluated in the current environment

Result is `ValueList` — “half of an environment”
(reason why pair of lists, not list of pairs)

Formals are bound to actuals in a new environment

`mkValenv` builds an environment from two lists

Application semantics

$$\phi(f) = \mathbf{USER}(\langle x_1, \dots, x_n \rangle, e)$$

x_1, \dots, x_n all distinct

$$\langle e_1, \xi_0, \phi, \rho_0 \rangle \Downarrow \langle v_1, \xi_1, \phi, \rho_1 \rangle$$

$$\langle e_2, \xi_1, \phi, \rho_1 \rangle \Downarrow \langle v_2, \xi_2, \phi, \rho_2 \rangle$$

⋮

$$\langle e_n, \xi_{n-1}, \phi, \rho_{n-1} \rangle \Downarrow \langle v_n, \xi_n, \phi, \rho_n \rangle$$

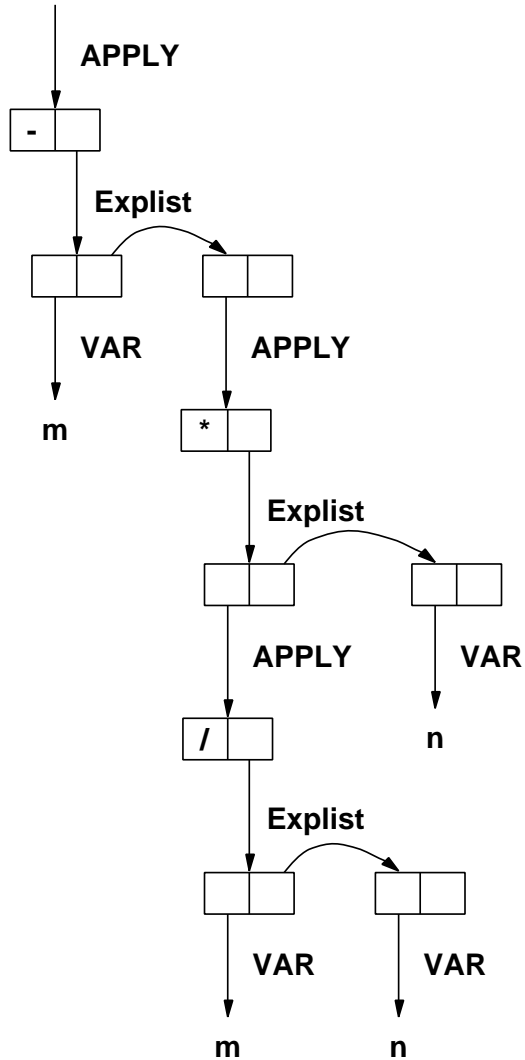
$$\langle e, \xi_n, \phi, \{x_1 \mapsto v_1, \dots, x_n \mapsto v_n\} \rangle \Downarrow \langle v, \xi', \phi, \rho' \rangle$$

$$\langle \mathbf{APPLY}(f, e_1, \dots, e_n), \xi_0, \phi, \rho_0 \rangle \Downarrow \langle v, \xi', \phi, \rho_n \rangle$$

(APPLYUSER)

Application Example

```
(define mod (m n) (- m (* (/ m n) n)))
```



Semantics for applying primitives

Addition (+) is a typical example.

$$\begin{array}{c} \phi(f) = \text{PRIMITIVE}(+) \\ \langle e_1, \xi_0, \phi, \rho_0 \rangle \Downarrow \langle v_1, \xi_1, \phi, \rho_1 \rangle \\ \langle e_2, \xi_1, \phi, \rho_1 \rangle \Downarrow \langle v_2, \xi_2, \phi, \rho_2 \rangle \\ \hline \langle \text{APPLY}(f, e_1, e_2), \xi_0, \phi, \rho_0 \rangle \Downarrow \langle v_1 + v_2, \xi_2, \phi, \rho_2 \rangle \\ \text{(APPLYADD)} \end{array}$$

Other primitives are similar.

Things to notice about Impcore

Lots of environments:

global variables

functions

parameters

local variables?

More environments = more name spaces

⇒ more complexity

Typical of many programming languages.

Questions to remember

Abstract syntax: what are the terms?

Values: what do terms evaluate to?

Environments: what can names stand for?

Evaluation rules: how to evaluate terms?

Initial basis (primitives): what's built in?